| CERTIFICATE OF MAILING UNDER 37 CFR §1.8   |  |  |   |   |                  |             |           |                           | BRINI                      | <u>∢ S</u>        |           |          |  |
|--|--|--|---|---|------------------|-------------|-----------|---------------------------|----------------------------|-------------------|-----------|----------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, |  |  |   |   |                  |             |           |                           |                            | HOFE              | R         |          |  |
|  | nt postage, in an<br>below date:   | envelope ad                              | iaressea i  | o: Commissioner for Pa                          | tents, P. O. Bo  | × 1450,     | Alexa     | andria, VA 22<br><b>1</b> | 313-14                     | 150,              | GILSO     | ) N      |  |
| Date: _  | November 1, 2007   | Name                                     | a: <u>John M</u>                                      | lurray  | _ Signature: -6  | LOK         |           | history                   | _                          |                   | &L101     |          |  |
|  |  |  |   |   | -                |             |           |                           | <u>۔</u>                   |                   | a L I U I | <u> </u> |  |
|  | ļ  | N THE                                    | UNITE   | ED STATESEPA                                    | TENT AN          | ID TF       | RAD       | EMARK                     | OFI                        | FICE              |           |          |  |
| In re  | Apple of   | Dennis                                   | e et al   | /o`` '  | 201              |             |           | 1                         |                            |                   |           |          |  |
| In re Appln. of:   |  | / NOV 0.5 2007                           |   |   |                  |             |           |                           |                            |                   |           |          |  |
| Appln. No.:  |  |  |   |   |                  |             |           |                           | Examiner: Edward J. Webman |                   |           |          |  |
| Filed:   |  | Octobe                                   | Art Un  | Art Unit: 1616                                  |                  |             |           |                           |                            |                   |           |          |  |
|  |  |  | Microemulsion and Micelle Systems for billizing Drugs |   |                  |             |           |                           | No.:                       | 3153              |           |          |  |
| Dock   | et No:   | 11509                                    | /20   |   |                  |             |           |                           |                            |                   |           |          |  |
| TRANSMITTAL  |  |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
|  |  |  |   | IIIAI   |                  | ~_          |           |                           |                            |                   |           |          |  |
| P. O.  | nissioner for F<br>Box 1450<br>ndria, VA 223   |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
| Sir:   |  |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
| Attac  | hed is/are:  |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
|  | Supplemental Information Disclosure Statement; form PTO 1449 with 5 references   |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
| $\boxtimes$  | Return Receipt Postcard.   |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
| Fee C  | alculation:  |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
| $\boxtimes$  | No additiona   | ıl fee is re                             | quired.   |   |                  |             |           |                           |                            |                   |           |          |  |
|  | Small Entity.  |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
|  | An extension fee in an amount of \$ for amonth extension of time under 37 CFR § 1.136(a).  |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
|  | A petition or  | processii                                | ng fee ir   | n an amount of \$                               | under 3          | 7 CFF       | ₹ § 1     | .17()                     | ١.                         |                   |           |          |  |
|  | An additiona   | I filing fee                             | e has be  | een calculated as sh                            | nown below       | :           |           |                           |                            |                   |           |          |  |
|  |  |  | Sm  |   |                  | Sma         | II Entity | Entity No                 |                            | ot a Small Entity |           |          |  |
|  | Claims R   |  |   | Highest No.<br>Previously Paid For              | Present<br>Extra | Ra          | ate       | Add'l Fee                 | OR                         | Rate              | Add'l Fee |          |  |
| Total  |  |  | Minus   | •   |                  | x s         | \$25=     |                           |                            | x \$50=           |           |          |  |
| Indep.   |  |  | Minus   |   |                  | х -         | 105=      |                           |                            | x \$210=          | :         |          |  |
| First P  | resentation of M   | /lultiple De                             | p. Claim  |   |                  | +\$^        | 185=      |                           |                            | + \$370=          | :         |          |  |
|  |  |  |   |   |                  | L.          | Total     | \$                        | <u> </u>                   | Tota              | \$        |          |  |
|  | ayment:  |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
|  |  | A check in the amount of \$ is enclosed. |   |   |                  |             |           |                           |                            |                   |           |          |  |
|  | Payment by credit card in the amount of \$ (Form PTO-2038 is attached).  Please charge Deposit Account No. 23-1925 in the amount of \$ for |  |   |   |                  |             |           |                           |                            |                   |           |          |  |
|  |  |  |   | int No. 23-1925 in the<br>enclosed for this pur |                  | υι <b>ֆ</b> |           | ior                       |                            |                   |           |          |  |
| $\boxtimes$  | The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16                             |  |   |   |                  |             |           | 1.16                      |                            |                   |           |          |  |

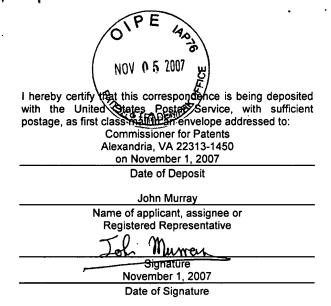
Respectfully submitted,

and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit

Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

November 1, 2007 Date John Murray (Reg. No. 44,251)

BRINKS HOFER GILSON &LIONE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Dennis et al.

Appln. No.: 10/679,581 Examiner: Webman, Edward J.

Filed: October 6, 2003 Art Unit: 1616

For: Novel Microemulsion and Micelle

Systems for Solubilizing Drugs

Attorney Docket No: 11509/20

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

Foreign Patent Documents

| DOCUMENT NUMBER Number-Kind Code (if known) | DATE     | COUNTRY |
|---|----------|---------|
| WO 92/40144A                                | Dec 1996 | PCT     |
| WO 99/32151A                                | Jul 1999 | PCT     |
| WO 94/08610A                                | Apr 1994 | PCT     |
| WO 00/78301A                                | Dec 2000 | PCT     |

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary,

37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

November 1, 2007

Date

John Murray (Reg. No. 44251)